



Responsible Business Alliance

Advancing Sustainability Globally

Facility Risk Self Assessment Questionnaire (SAQ)

Company	Amphenol Corporation
Facility	Amphenol AssembleTech (Xiamen) Co., Ltd.
Facility Address	39 Qianpu Industrial Estate, (Standard Factory Building B, Lianban Industrial Park), Siming District
SAQ Version	Facility Risk SAQ - 2024
Released Date	06/18/2024

Risk Questions

Overall Facility Risk

69.7

Medium Risk

Facility Risk Details

79.0
Labor

80.0
Health and Safety

72.6
Environmental

83.6
Ethics

72.7
Forced Labour

28.9
Country

2.6
Product

Control Questions

79.7

Revised Score

83.6
Labor

82.0
Health and Safety

74.2
Environmental

85.4
Ethics

Facility Info

FI 1	Company Name	Amphenol Corporation
FI 2	Facility Name (Business License Name)	AISS - AST (Xiamen)
FI 3	Facility Address	39-B QianPu Industrial Estate, Xiamen, Fujian, PRC, 361009

FI 4	Facility City	Xiamen
FI 5	Facility State or Province	Fujian
FI 6	Facility Country	China
FI 7	Facility Postal / ZIP Code	361001
FI 8	Please list your PRIMARY Deliverables	Manufacturing
FI 9	Total number of current employees (inc. contracted workers, apprentices, etc) at Facility	3652
FI 10	Total floor space in m2	34003
FI 11	Main Contact name for RBA audit	Eric Wang
FI 12	Contact Telephone Number	0592-5935555
FI 13	Contact Email	Eric.Wang@amphenol-ast.com
FI 14	Social and Environmental Responsibility Contact Name	Lisalyn Lin
FI 15	Contact Position or Title	Senior EHS Specialist
FI 16	Contact Telephone Number	0592-5935185
FI 17	Contact Email	lisalyn.lin@amphenol-ast.com
FI 18	Company Headquarters Address (if different from above)(Enter N/A if not applicable)	358 Hall Avenue, Wallingford, CT 06492
FI 19	Notes and Comments on any question in this section: (answer required, If none enter N/A)	N/A
FI20	Please include facility operation starting date (eg. 7 September 1995)	26 September 2003
FI21	Does the potential exist that your workers come in contact with flammable or corrosive substances, chemical or biological agents, harmful emissions or lasers?	Yes
FI22	Does your facility store and/or use hazardous materials (flammable, corrosive, toxic, reactive, etc.) for any reason?	Yes
FI23	Does your facility generate hazardous wastes in volumes that require storage or use permits?	No
FI24	What type of wastewater is generated at your facility (Industrial, Sanitary, Both, or No Wastewater)?	No Industrial Wastewater
FI25	Does your facility generate air emissions?	Yes
FI26	Does your facility provide or contract for canteen services for workers?	Yes

FI27	Does your facility provide housing for its workers (dormitory or worker housing, hostels, apartments or any other form of living quarters) directly or through the government, a labor agent, contractor or other 3rd party?	No
FI28	List the main languages spoken by workers (including migrant workers). Please exclude foreign employees working at supervisory, executive or management level.	Chinese

Labor		
L1a	Do you employ migrant workers from foreign countries?	No
L2a	Do you employ migrant workers from other provinces / regions within your country?	Yes
L2b	If yes, from which provinces / regions in your country?	Jiangxi Henan Sichuan Guizhou Yunnan
L3	Do you allow workers in production roles to complete work at home, part-time, full-time, or as requested?	No
L4	Do you use recruitment agencies and/or labor agencies?	No
L5	Do you have workers employed at your facility who are on temporary contracts (6 months or less)?	No
L6	Does your facility currently have worker roles that are unfilled due to labor shortages in your local area (or region)?	No
L7	Is your facility located in a free trade zone?	No
L8	In the last 12 months, has your facility been subject to a fine, penalty or legal judgement against it, regarding non-compliance with labor legal requirements?	No
L9	Have you had a collective dismissal / layoff/reduction in workforce in the past 24 months?	No
L10	Do all of your workers have written contracts of employment in their native language, or a language they can understand, which they have read and signed (prior to departing their habitual place of residence, in the case of migrant workers)"?	Yes
L11	Who is in posession of workers' original documents (e.g. passport, official ID card)?	Worker

L12	Do you have a policy that workers have access to their documents at all times?	Yes
L13	Are any workers required to pay fees to your company (e.g. medical fees, fees for uniforms, travel/ transportation fees, etc.), at any stage of recruitment, employment or upon termination?	No
L14	Are any workers required to pay fees to recruitment agents or any third parties, during the process of recruitment for your company?	No
L15	Do apprentices, students or interns participate in production processes?	No
L17	What is your minimum hiring age?	18 or higher
L18	What percentage of the workers who make your products or supply your services are 0-2 years older than the minimum legal working age in your country?	0-9.9%
L19	Are the products produced at your facility, or the services you provide, subject to large demand fluctuations (including seasonal fluctuations)? As a guide, fluctuations of over 20% from one month to another are considered 'large.'	No
L20	Are any of your workers paid according to a piece rate?	No
L21	Are wage calculations provided to workers using a pay stub or similar documentation?	Yes
L22	Do you provide special training on human rights to security guards?	Yes
L23	What measures do you use to discipline workers?	Warning
L24	Do you have a trade union or other worker representation at the facility?	Yes
L25	Does your facility have a Collective Bargaining Agreement in place?	Yes
CS1	Do you subcontract any of your key production processes to other facilities to fulfill orders?	No
CS2	Do you use indirect workers at your facility? (i.e. workers employed by a separate company, which you contract to provide these workers)?	No
CS3	What is your employee turnover rate in the past three years? (including all turnover, both voluntary and involuntary)	10-19.9%
L26	What is the most consecutive days your workers are allowed to work without a day off?	6

L27	What is the pay rate for overtime work?	at least 150% of regular
L28	Do you ensure that workers performing similar work are paid the same?	Yes
L29	Do you provide accommodations for religious practices and workers with disabilities?	No
L30	Do you maintain documentation on all workers that are leaving the company?	Yes, for 1 year or more

Environmental

EV1	Do you have current and documented records at your facility for all environmental permits, licenses of other legal authorization for each of these requirements? [upload copies of the records that are held]	Yes
EV1-a	Attachment	环保排污登记回执2020.4.8-2025.4.7.pdf
EV2	In the last 12 months, have you been subject to a fine, penalty or legal proceedings regarding failure to acquire or for operating without environmental permits or licenses or any other legal requirement?	No
EV3	What is the distance of your facility from the nearest open water body (river, stream, lake (natural or artificial), marsh, ocean)?	≥ 800m
EV4	Is 100% of the liquid waste generated at your facility treated (either on site or off site) before it is discharged?	Yes
EV5	What proportion of solid waste generated at your facility goes into a recycling program?	70-79.9%
EV6	In the last 12 months, have you been subject to a fine, penalty or legal proceedings regarding air emissions associated with your facility?	No
EV7	Is water recycled at your facility?	No
EV8	Is your facility located in a location that is known to have water shortages or within an arid zone?	No
EV9	What are the primary sources of energy used to power your facility?	National, regional or municipal grid
EV10	Do you use equipment at your facility that directly emits GHG (CO2, methane, etc), such as boilers, generators, transport vehicles, etc.?	No
EV11	What proportion of your total monthly revenue is attributed to your use of energy (electric power and fuel)?	0-9.9%

CS4	Has there been an environmental emergency or incident at your facility in the last 12 months (for example, a pollution incident, or an accidental release of hazardous materials, water or solid waste)?	No
CS5	Do you have a registry / list and all of the required environmental permits, licenses and/or other legal authorizations required for your facility?	Yes
CS6	When is the last time that the machinery being used for key processes in your facility was replaced or upgraded?	< 1 year
CS7	When was the machinery being used for key business processes in your facility last serviced?	< 1 year
CS8	Do any of the chemicals used at your facility have a Category 1 or 2 class hazard symbol, according to the GHS labeling system?	Yes
CS9	Do you keep a list/registry of hazardous materials used?	Yes
CS11	Does the potential exist that your workers come in contact with flammable or corrosive substances, chemical or biological agents, harmful emissions or lasers?	No
CS12	Do your workers need to work on or around high-pressure vessels? (A high-pressure vessel is one designed to hold liquids, vapors, or gases at high pressures, usually above 15 psig.)	No
CS13	Do all of your workers have access to written information on each of the workplace hazards that they can be exposed to, written in their native language?	Yes
EV12	Do you have an ABSOLUTE greenhouse gas reduction goal AND do you report publicly?	Yes
EV13	Do you track AND report publicly on your Scope 1, 2 and significant categories of Scope 3 greenhouse gas emissions?	Yes
EV14	Is hazardous waste tracked and documented?, including measuring, retaining data, having a reduction goal, and publicly reporting progress?	Yes to all
EV15	Is solid (non-hazardous) waste tracked and documented, including measuring, retaining data, having a reduction goal, and publicly reporting progress	Yes to all

Health and Safety

HS1	Do you share premises with other facilities?	No
HS2	Do you use machinery with the potential to cause serious injury to operators?	No
HS3	During the past 3 years, have any health and safety violations resulted in penalties or corrective actions, mandated by a court or government authority?	No
HS4	If you use worker dormitories, does every dormitory have hot water for bathing and showering, adequate lighting, and ensure adequate heat / air conditioning?	Not applicable
HS5	If you use worker dormitories, does each worker have a safe and secure individual place to store their valuable items?	N/A - no dormitories
HS6	Do your workers have to lift loads greater than 50 lb (22.7 kg) manually?	No
HS7	Do any of your workers operate equipment that requires an electricity voltage over 240V?	No
HS8	Are your workers ever required to work at a height that risks them falling more than 2m?	No
HS9	Does your facility have a sprinkler system in the production area (where relevant), in case of fire?	Yes
HS10	Does your facility have an alarm system that covers every indoor area, in case of fire?	Yes
HS11	Are all windows free of bars, grilles, or other obstructions that would prevent their use as exits in an emergency?	Yes
HS12	Has there been an outbreak of infectious disease at your facility in the last two years (other than the common cold)?	No
HS13	Has there been an outbreak of infectious disease at any of your dormitories in the last two years (other than the common cold)?	No
HS14	Do your workers ever work in confined spaces (areas whose enclosed conditions and limited access would make an emergency exit difficult)?	No
HS15	Is there manufacturing assembly, manufacturing machinery or heavy storage of any kind above the ground floor level ?	No
HS16	In the last 12 months did any work-related fatalities or serious injuries occur at your facility?	No

HS17	Have you had a emergency evacuation drills for each shift in the last 12 months, including at least 1 drill while it was dark outside?	Yes, drills performed for each shift, with at least one drill while dark outside
CS10	Do you provide dormitories?	No
HS18	Do you have gender-responsive policies and practices, such as not having pregnant or nursing mothers in working conditions that could be hazardous to them or their child, AND provide reasonable accommodations for nursing mothers?	Yes - we remove pregnant or nursing mothers from hazardous conditions AND provide nursing accommodations
HS19	Do you ensure and communicate to workers that they may remove themselves from any situation they feel could cause imminent harm, and not need return until the situation is mitigated, without fear of retaliation?	Yes
HS20	Do you provide occupational health monitoring to routinely evaluate if workers' health is being harmed from occupational exposures?	Yes to all workers
HS21	Does the health information and training include content on specific risks to relevant demographics, such as gender and age?	Yes

Ethics

ET1	Does your facility have any links to senior officials in the local or national government, or in regulatory bodies that are relevant for your business? Examples of links are shareholder interests, close family relationships, significant business relationships with officials or companies they control.	No
ET2	Has your facility been investigated by relevant authorities for an ethics violations such as bribery, embezzlement or tax evasion, over the last two years?	No
ET3	Has your facility been subject to any legal proceedings over the past two years associated with alleged infringements of other parties' intellectual property rights?	No
ET4	Has your facility been investigated by relevant authorities for unfair competitive practices, or similar, over the last two years?	No
ET5	Has your facility been investigated by relevant authorities for misleading advertising, or similar, over the last two years?	No

ET6	Do you encrypt all the personal information that you store, of suppliers, customers, consumers and employees?	Yes
ET7	Do you share any of the personal information that you store, of suppliers, customers, consumers and employees, with third parties?	No
ET8	Do you keep full written records of every sale, purchase, payment made and payment received by your facility, which has an equivalent value of US \$100 or greater?	Yes
ET9	If your facility incorporates tin, tungsten, tantalum, gold or cobalt in your products, do you implement the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas?	Yes
ET10	Do you communicate RBA Code of Conduct requirements to your suppliers?	Yes
ET11	Do you place a contractual requirements on your suppliers (including any contractors or labor agents) to be in compliance with relevant legal requirements and RBA Code of Conduct?	Yes
ET12	Do you have a process in place to identify risk for legal compliance, environmental, health and safety, labor, and ethics standards, including the risk of severe human rights and environmental impacts ?	Yes to all

Labor Control Questions

LC1	Does your facility hold any voluntary certifications for labor standards? For example, SA 8000, RBA VAP or Factory of Choice, or equivalent or similar?	Yes
LC1-a	Electronic copy of certifications	VAP Recognition Cert - Silver_AST XM.pdf
LC2	Does your facility have a written policy on the prevention of forced labor?	Yes
LC2-a	Electronic copy of policy document	RBA-011Rev.1 禁止强迫劳工管理程序.doc
LC3	Does your facility has a written policy on no fees?	Yes
LC3-a	Documents	RBA-006 Rev.3 招聘管理程序.doc
LC4	If there are migrant workers do they have written contracts of employment in their native language or a language they can understand? Provide a copy of employment for each language.	N/A

LC5	Do you have a policy that allows workers to have access to their personal documents at all times?	Yes
LC5-a	Documents	RBA-006 Rev.3 招聘管理程序.doc
LC6	Does your facility has a written policy on freedom of movement?	Yes
LC6-a	Documents	RBA-011Rev.1 禁止强迫劳工管理程序.doc
LC7	Do you pass your forced labor policies including no recruitment fees and personal document retention to your recruitment agencies?	N/A
LC8	Has your facility assigned responsibility to specific staff for implementation of the forced labor policy?	Yes
LC8-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	QM-2 RBA manual.doc RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
LC9	Has your facility developed a mechanism to monitor for instances of forced labor in your supply chain?	Yes
LC9-a	Documentation to show the existence of the mechanism, e.g. monitoring procedure, communication records to suppliers, monitoring reports.	SOP-602 rev8 Emergency Response Business Continuity Plan.doc
LC10	Does your facility have a written policy on the prevention of child labor?	Yes
LC10-a	Electronic copy of policy document	RBA-009 Rev2禁止使用童工政策及童工救济程序.doc
LC11	Has your facility assigned responsibility to specific staff for implementation of the child labor policy?	Yes
LC11-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-009 Rev2禁止使用童工政策及童工救济程序.doc
LC12	Are apprentices, students and/or interns only assigned to activities that complement the academic degree/certificate or skill enhancement learning goals?	N/A
LC14	Does your facility have a mechanism to check worker age upon hire?	Yes
LC14-a	Document to show the existence of the mechanism: e.g. hiring procedure, age verification procedure	RBA-006 Rev.3 招聘管理程序.doc RBA-002 Rev.1 核对年龄政策及程序.doc
LC15	Does your facility have a written policy on working hours?	Yes
LC15-a	Electronic copy of policy document	RBA-027 Rev 5工时和加班时间管理程序.doc

LC16	Does your facility have a process to track and ensure that there are no exceedances of required working hour limits?	Yes
LC16-a	Document to show the existence of the mechanism: e.g. monitoring procedure.	RBA-027 Rev 5 工时和加班时间管理程序.doc
LC17	Does your facility have a mechanism to set worker wages to be not less than a legal minimum wage?	Yes
LC17-a	Document to show the existence of the mechanism: e.g. wage setting procedure, pay scales.	RBA-006 Rev.3 招聘管理程序.doc
LC18	Does your facility have a mechanism to ensure that deductions from worker wages are in line with legal requirements?	Yes
LC18-a	Document to show the existence of the mechanism: e.g. list of deductions.	RBA-006 Rev.3 招聘管理程序.doc
LC19	Does your facility have a written policy on the prevention of harassment, coercion, threatening behavior, physical abuse or punishment, sexual abuse, or verbal abuse towards workers?	Yes
LC19-a	Electronic copy of policy document	RBA-005 Rev 2 无骚扰无虐待公平政策及程序.doc RBA-013 Rev.2 反歧视、反人口买卖管理程序.doc
LC20	Has your facility assigned responsibility to specific staff for implementation of the policy?	Yes
LC20-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1 RBA 责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA 管理委员会发布令组织图及委任书.doc
LC21	Does your facility have disciplinary procedures?	Yes
LC21-a	Electronic copy of procedure document	RBA-001 Rev.1 惩罚性措施控制政策及程序.doc
LC22	Does your facility have a written policy on the prevention of discrimination?	Yes
LC22-a	Electronic copy of policy document	RBA-001 Rev.1 惩罚性措施控制政策及程序.doc
LC23	Has your facility assigned responsibility to specific staff for implementation of the anti-discrimination policy?	Yes
LC23-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1 RBA 责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA 管理委员会发布令组织图及委任书.doc

LC24	Do you ensure that workers have the freedom to associate and bargain collectively by joining a labor organization or trade union? Or if that is restricted by law, they can form and join an alternate lawful form of worker representation and elect representatives?	Yes, workers can freely join a labor organization or trade union
LC24-a	Electronic copy of policy document	RBA-047 Rev 2 自由结社.doc
LC25	Has your facility assigned responsibility to specific staff for implementation of the policy?	Yes
LC25-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1RBA责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
CSC1	Does your facility have a whistle-blower system in place through which employees can make anonymous reports of malpractice?	Yes
CSC1-a	Electronic copy of the information that is provided to employees on the whistle-blower system	RBA-030 Rev.2投诉举报与反打击报复管理程序.doc
CSC2	Does your facility have a grievance mechanism available to employees, contracted workers and other stakeholders?	Yes
CSC2-a	Electronic copy of the information that is provided to employees, contracted workers and other stakeholders on the grievance mechanism	RBA-033 Rev.1员工利益冲突管理程序.doc
CSC3	Does your facility hold regular training for staff on all the RBA Code elements (all staff trained upon hire and annually)?	Yes
CSC3-a	Induction and periodic training program, training logs for the last 12 months	年度培训计划2023.xlsx RBA&EHS&基本统计概念知识&ESD&RoHS 培训记录.pdf
CSC4	Have you assigned a management representative (s) to be responsible for compliance with laws and regulations covering labor, health and safety, environment and business ethics?	Yes
CSC4-a	Name and job title of relevant staff, job description, organizational chart	RBA-040 Rev.1 识别和获取使用法律法规的管理程序doc.doc RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
CSC5	Which language are your evidence files uploaded in?	Chinese
LC26	Does your facility have a written policy on disciplinary actions, which is communicated to staff and workers ?	Yes, we have a policy and ensure it is communicated

Environmental Control Questions

EVC1	Do you hold a current certification or other formal documentation to show that your environmental management system or practices have been independently assured or verified, such as RBA VAP or Factory of Choice, ISO 14001 or equivalent or similar?	Yes
EVC1-a	Electronic copy of certifications	VAP Recognition Cert - Silver_AST XM.pdf ISO14001 New.pdf
EVC2	Does your facility have a written Environmental policy that includes all the RBA Code Environmental elements: Environmental Permits & Reporting; Pollution Prevention and Resource Reduction; Hazardous Substances; Solid Waste; Air Emissions; Materials Restrictions, Water Management, and Energy Consumption and Greenhouse Gas Emissions?	Yes
EVC2-a	Electronic copy of policy document	RBA-035 Rev.3环境健康安全管理制度.doc SOP-727rev3 Waste Liquid and Air Control水、气管理.docx SOP-728 rev3 Solid Wastes Control固体废物控制.doc SOP-731 Rev 3 Resource Control能源资源管理.doc RBA-045 Rev.1 温室气体管理制度.doc 环保排污登记回执2020.4.8-2025.4.7.pdf 翔安工厂环保排污登记回执2022.1.21-2027.1.20.pdf RBA-82 rev.0破坏臭氧层物质(ODS)管理控制程序.doc
EVC3	Has your facility assigned responsibility to specific staff for implementation of the environmental policy?	Yes
EVC3-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1RBA责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
EVC4	Does your facility have a program to reduce or eliminate pollution, emissions and waste in your operations?	Yes
EVC4-a	Documentation to show the metrics and identified targets	SOP-727rev3 Waste Liquid and Air Control水、气管理.docx SOP-728 rev3 Solid Wastes Control固体废物控制.doc RBA-095 Rev1节能减排管理制度.doc

EVC5	Does your facility have a program to track and reduce the use of energy, water, and other resources in your operations?	Yes
EVC5-a	Documentation to show the metrics and identified targets	2023RBA目标指标总.xlsx

Health and Safety Control Questions

HSC1	Do you hold a current certification or other formal documentation to show that your Health and Safety management system or practices have been independently assured or verified, such as RBA VAP or Factory of Choice, or ISO 45001 or equivalent or similar?	Yes
HSC1-a	Electronic copy of certifications	VAP Recognition Cert - Silver_AST XM.pdf ISO45001 New.pdf
HSC2	Does your facility have a written Health and Safety policy that includes all the RBA Code Health and Safety elements: Occupational Safety; Emergency Preparedness; Occupational Injury and Illness; Industrial Hygiene; Physically Demanding Work; Machine Safeguarding; Sanitation, Food and Housing, Health and Safety Communication?	Yes
HSC2-a	Electronic copy of policy document	RBA-035 Rev.3环境健康安全管理制度.doc
HSC3	Has your facility assigned responsibility to specific staff for implementation of the health and safety policy?	Yes
HSC3-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1RBA责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
HSC4	Does your facility have a Health and Safety committee / worker health and safety representative?	Yes
HSC4-a	Name and job title of relevant staff, job description, organizational chart	RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
HSC5	Does your facility have a process to identify, assess and control potential worker exposure to safety hazards (e.g. chemical, electrical, fall, and other hazards)?	Yes
HSC5-a	Risk register, workplace risk assessment	FRM-867 Rev4安全风险辨识和风险分级评价表ABC2024.xlsx
HSC6	Does your facility have an emergency response procedure?	Yes

HSC6-a	Electronic copy of procedure document	SOP-725 rev10 Emergency Response Project应急响应.doc
HSC7	Does your facility keep records of industrial accidents and occupational diseases?	Yes
HSC7-a	Accident records for the last 3 years	2021-2023工伤台账.pdf

Ethics Control Questions

ETC1	Do you hold a current certification or other formal documentation to show that your Business Ethics management system or practices have been independently assured or verified, such as RBA VAP or Factory of Choice, ISO 37001 or equivalent or similar?	Yes
ETC1-a	Electronic copy of certifications	VAP Recognition Cert - Silver_AST XM.pdf
ETC2	Does your facility have a written Business Ethics policy that includes all the RBA Code Business Ethics elements: Business Integrity; No Improper Advantage; Disclosure of Information; Intellectual Property; Fair Business, Advertising and Competition; Protection of Identity and Non-Retaliation; Responsible Sourcing of Minerals; and Privacy	Yes
ETC2-a	Electronic copy of policy document	RBA-025 Rev.0 道德规范和行为标准.doc RBA-010 Rev.1 禁止商业贿赂管理程序.doc RBA-031 Rev.1 礼品管理政策.doc RBA-038 Rev.1 公平交易标准.doc
ETC3	Has your facility assigned responsibility to specific staff for implementation of the business ethics policy?	Yes
ETC3-a	Name(s) of staff member(s) responsible for implementing the policy and relevant procedures; job description	RBA-019 Rev1RBA责任商业联盟行为准则和企业社会责任原则.pdf RBA-020 Rev.1 RBA管理委员会发布令组织图及委任书.doc
ETC4	Does your facility have procedures to ensure accurate disclosure of information regarding business activities, structure, financial situation, and performance in accordance with applicable regulations?	Yes
ETC4-a	Electronic copy of procedure document	RBA-038 Rev.1 公平交易标准.doc

ETC5	Does your facility have procedures to ensure non-disclosure of sensitive or confidential information about customers, channel partners, suppliers, workers, and other business partners as required by law or your contracts with the listed parties?	Yes
ETC5-a	Electronic copy of procedure document	RBA-037 Rev.1 隐私保护程序.doc
ETC6	Does your facility have procedures to ensure that required standards of fair business, including advertising and competitive marketing, are upheld?	Yes
ETC6-a	Electronic copy of procedure document	RBA-038 Rev.1 公平交易标准.doc
CSC5	Which language are your evidence files uploaded in?	VAP Recognition Cert - Silver_AST XM.pdf ISO45001 New.pdf